UNIVERSITY OF HAWAII NOTICE OF EXEMPTION FROM STANDARD METHODS OF SOURCE SELECTION

The Vice President for Budget and Finance/Chief Financial Officer, University of Hawaii, is in the process of				
reviewing the request from University of Hawaii at West Oahu	(D) ((10)) ((11)			
from Standard Methods of Source Selection for the following	(Department/Campus) for exemption			
Trom Standard Methods of Source Selection for the following	goods, services, or construction.			
Executive search services relating to the recruitment of a Vice Chancellor, Academic Affairs (VCAA) for the University of Hawaii at West Oahu.				
Vendor: (If known) Address:				
Term of Contract: From: To (if known)	o: Cost: \$75,000 (est.)			
Direct any inquiries to:				
Department: University of Hawaii at West Oahu	Phone Number:			
Contact Name/Title: Donna Kiyosaki, Vice Chancellor for Ad	dministration			
	454-4742			
Address: 96-129 Ala Ike, Pearl City, HI 96782	Fac. No.			
	Fax Number:			
	453-6176			
	Date Posted: November 22, 2011			

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Submit written objections to this notice to issue an exemption from Standard Methods of Source Selection, within seven (7) calendar days from the date posted to:

Office of Procurement and Real Property Management 1400 Lower Campus Road, Room 15 Honolulu, Hawaii 96822 OPRPM Form 138 (Rev.07/2010)

REQUEST FOR EXEMPTION FROM STANDARD METHODS OF SOURCE SELECTION

TO:

OFFICE OF PROCUREMENT AND REAL PROPERTY MANAGEMENT

FROM:

University of Hawaii at West Oahu, Office of the Chancellor

(Department/Program)

Pursuant to APM Section A8.220, the Department requests a procurement exemption to purchase the following:

Description of goods, services, or construction:

Executive search services are being sought relating to the recruitment of a Vice Chancellor, Academic Affairs (VCAA) for the University of Hawaii at West Oahu. Search services shall include assistance in advertising; development of a challenge/opportunities document; identification, recruitment, research, and vetting of qualified candidates; providing market data; and the coordination and monitoring of the search process and the screening of candidates. In addition, the Contract will provide general consultation services through completion of the search process.

Estimated	Cost:	\$ 75,000.00	
Estimated	Cost:	\$ 75,000.00	

 Explanation describing how procurement by standard competitive means is either not practicable or not advantageous to the University;

Procurement by standard competitive means, which involves the preparation and advertising of a formal request for proposals, will not permit the completion of the world-wide recruitment and selection process in the time necessary to permit University to make an offer for appointment prior to Spring 2012. As a reasonable alternative, it is proposed that invitations be extended to search firms identified as members of the American Council on Education (ACE) Roundtable of Executive Search Firms. These firms will provide the University, in a timely manner, with ample numbers of search proposals from firms who have the experience necessary to successfully assist the University in its search.

(2) Details of the process or procedures to be followed in selecting the vendor to ensure as fair and open competition as practicable;

From a listing of ACE Roundtable of Executive Search Firms (copy attached), a vendor will be identified based on the firm's executive search experience and other considerations. Informal requests for proposals (copy attached) will be forwarded to the firms. A committee of from three to five (3-5) members experienced in the conduct of an executive search will review proposal responses taking into consideration the firm's prior experience in academic searches for similar positions, prior record of successful placements, networking and outreach capabilities, administrative support capabilities, ability to meet the search schedule, the proposed costs of the services to be provided, and the quality of the proposal. Based on the evaluations of the proposals received, the committee will identify no more than three firms and forward the names and an assessment of strengths and weaknesses in unranked order to the Chancellor for final selection.

(3) A description of the Department's internal controls and approval requirements for the exempted procurement; and

The Office of Procurement and Real Property Management will assist in reviewing a contract for services with the firm determined by the Chancellor to best match the needs of and be most advantageous to the University. Expenditures will be subject to review, approval, and processing in conformity with specifications of the request for proposals and the University's policies and procedures.

A list of Department personnel, by position title, who will be involved in the approval

(4)

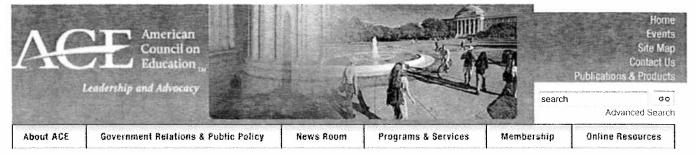
process and administration of the contra	act:	2 11/2 2 1 1 1 1 1 1
UHWO Chancellor, Gene Awakuni UHWO Vice Chancellor for Administration, Donna Kiyos UHWO Fiscal Officer, Lori Foo Director of Procurement, Duff Zwald	saki	
Direct questions to: Donna Kiyosaki	Phone: 454-4841	
I CERTIFY THAT THE INFORMATION PROV KNOWLEDGE, TRUE AND CORRECT.	'IDED ABOVE IS TO THE BEST (OF MY
Donna Kiyosaki	All Di	11/18/1
Full Name of Principal Investigator, Department Head, or Administrator	Signature	Date
Lori Foo	Lowers	11.18.11
Full Name of Fiscal Officer	Signature	Date
APPROVED: Gene I. Awakuni	WhO by GA	ול ובל ו
Full Name of Vice President or Chancellor	Signature	Date
FOR OPR	PM USE ONLY	
OPRPM COMMENTS:		
Recommend approval.		
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VICE PRESIDENT FOR BUDGET & FINANCE/CHIEF FINANCIAL OFFICER, UNIVERSITY OF HAWAII

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OPRPM Form 138

Attachment



Online Resources

ACE Webinar Series

Bookstore

Online Information Center

Accountability and Higher

Education Outcomes

Policy and Research Links

Forum for the Future

Department Chair Online

Resources

ACE Staff Directory

Home >Online Resources > Roundtable of Executive Search Firms

Roundtable of Executive Search Firms

ACE is pleased to provide information and a means of facilitating communication with executive search firms that specialize in higher education. ACE does not evaluate or endorse the services of individual firms.

30 listed

Specialty -- All Search Firms--

Abbreviated specialties can be fully viewed by moving your cursor over each abbreviation.

Academic Search, Inc.

Academic Search Consultation Service is a higher education executive search organization serving the needs of colleges and universities for nearly three decades. Specialties: ADV, CAO, CEO, CFO, DEAN, GC, VP

Jessica Kozloff

1825 K Street, NW

Suite 705

Washington, DC 20006

Telephone: (202) 332-4049

E-mail: jsk@academic-search.com

Alden & Associates, Inc.

Alden & Associates is a higher education firm that specializes in intercollegiate athletics executive search and consulting for colleges, universities and athletics conferences. Specialties: ATH, CS/PA, GC

Betsy Alden 25 Sterling Road Florence, MA 01035 Telephone: (413) 549-1635

Fax: (413) 549-1461

E-mail: betsy@aldenandassoc.com

Archer ~ Martin Associates

Nancy Martin PO Box 792

Telephone: (508) 325-6161

Fax: (508) 228-0445

E-mail:

nancy@archermartinassociates.com

Association of Community College Trustees

Specialties: CAO, CEO

Nantucket, MA 02554

J. Brown

1233 20th Street, NW

Suite 301

Washington, DC 20036

Telephone: (202) 775-4667 ext. 125

Fax: (202) 223-1297

E-mail: nbrown@acct.org

Auerbach Associates

Judith Auerbach 385 Concord Avenue Suite 103 Belmont, MA 02478

Telephone: (617) 451-0095 Fax: (617) 451-5199

E-mail: judith@auerbach-assc.com

Ayers & Associates, Inc.

George Ayers 2001 Jefferson Davis Highway Suite 503

Arlington, VA 22202

Telephone: (703) 418-2815 Fax: (703) 418-2814

E-mail: george.ayers@ayersandassoc.com

Baker and Associates

Jerry Baker 4799 Olde Towne Parkway Suite 202

Marietta, GA 30068

Telephone: (770) 395-2761

E-mail: jbaker@baasearch.com

Brill Neumann Associates, Inc.

Elizabeth Neumann 607 Boylston Street Boston, MA 02116

Telephone: (617) 753-1810 Fax: (617) 753-9330 E-mail: liz@brillneumann.com

David Shefrin & Associates

David Shefrin & Associates (DS&A) is the country's leading team of professionals specializing exclusively in the purchase and sale of private career colleges and the recruitment of senior management executives in the post-secondary education sector. Our retained and contingent executive search successes within higher education (over 300 and counting) over the past 10 years include: CEO, COO, CTO, Founding Chair, Campus President, Executive Director, Director of Admissions, Director of Education, Academic Dean, Online President, Online Academic Dean among others.

David Shefrin 14301 North 87th Street Suite 215 Scottsdale, AZ 85260

Telephone: (480) 556-0631 ext 201

Fax: (480) 556-0638 E-mail: dshefrin@aol.com

Diversified Search Odgers Berndtson

The Diversified Search Companies undertakes assignments for academic leaders through vice presidents and directors for private and public research universities, comprehensive universities, liberal arts colleges, and independent schools.

Kim Morrisson One Commerce Square 2005 Market Street, Suite 3300

Philadelphia, PA 19103

Telephone: (215) 732-6666 Fax: (215) 568-8399

E-mail: kim.morrisson@divsearch.com

EFL Associates

Jason Meschke 11440 Tomahawk Creek Parkway Leawood, KS 66211-2672

Telephone: (913) 451-8866 Fax: (913) 451-3219

E-mail: jasnicar@eflassociates.com

Greenwood/Asher & Associates, Inc.

Greenwood/Asher & Associates provides executive search, consulting, and training services for

public and private higher education institutions, with primary focus on executive and senior-level searches. Specialties: ADV, ATH, CAO, CEO, CFO, CHAIR, CIO, DEAN, GC, OTH, SPO, VP

Jan Greenwood 42 Business Center Drive

Suite 206

Miramar Beach, FL 32550

Telephone: (850) 650-2277 Fax: (850) 650-2272

F-mail:

jangreenwood@greenwoodsearch.com

Harris & Associates/IIC Partners

Harris / IIC Partners is a leading executive search and advisory firm in the field of higher education and academic medicine. Our firm includes consultants from the largest, most well recognized retained search organizations and highly respected, former university Presidents, Provosts, Deans, Practicing Physicians, and Department Chairs from across the country. Specialties: ADV, CAO, CEO, CFO, CHAIR, CIO, CS/PA, DEAN, DIR, GC, OTH, SPO, VP

Jeffrey Harris 4236 Tuller Road

Dublin, OH 93017

Telephone: (614) 798-8500 Fax: (614) 798-8588

E-mail: jeff@harrisandassociates.com

Heidrick & Struggles, Inc.

Specialties: ADV, CAO, CEO, CFO, CIO, DEAN, GC, SPO, VP

Ellen Brown 303 Peachtree Street, NE Suite 4300 Atlanta, GA 30308

Telephone: (404) 682-7313 Fax: (404) 577-4048

E-mail: ebrown@heidrick.com

Isaacson, Miller

Isaacson, Miller is a national retained search firm serving mission-driven organizations, with a specialty in recruiting presidents, provosts, and deans for colleges and universities. Specialties: ADV, CAO, CEO, CFO, CHAIR, CIO, CS/PA, DEAN, DIR, GC, OTH, SPO, VP

John Isaacson 263 Summer Street, 7th Floor

Boston, MA 02210

Telephone: (617) 262-6500 Fax: (617) 262-6509

E-mail: jisaacson@imsearch.com

J. Robert Scott Executive Search

Jonathan Fortescue 260 Franklin Street Suite 620 Boston, MA 02110

Telephone: (617) 563-2770

E-mail: jonathan.fortescue@fmr.com

Janet Wright & Associates Inc.

Founded in 1995, Janet Wright & Associates specializes in senior-level recruiting for missiondriven organizations in the public and not-for-profit sectors, primarily colleges and universities.

Janet Wright 174 Bedford Road Suite 200

Toronto, Ontario, CAN

Telephone: (416) 923-3008 Fax: (416) 923-8311

E-mail: admin@jwasearch.com

Korn/Ferry International

Korn/Ferry International works domestically and internationally on a variety of higher educationrelated assignments, including recruitment of presidents and chancellors.

Kenneth Kring 1835 Market Street Telephone: (215) 496-6666

Fax:

Suite 2000 Philadelphia, PA 19103 E-mail: ken.kring@kornferry.com

Kube & Company

Serving Higher Education since 1997, Kube & Company, Higher Education Management Consultants, specializes in contingency and retained executive search and has resolved numerous customized Executive and Management searches including: Chief Level Officers, VP level executives and key mid level executives. We have demonstrated expertise in completing assignments on behalf of public, private and proprietary higher education institutions. We also have a unique niche in interim staffing at the executive level. Specialties: ADV, ATH, CAO, CEO, CFO, CHAIR, CIO, CS/PA, DEAN, DIR, GC, OTH, SPO, VP

Thomas Kube 10425 East Via Linda Suite 110 Scottsdale, AZ 85258

Telephone: (480) 767-7126 Fax: (480) 314-2138 E-mail: <u>tkube@kubeco.com</u>

R. William Funk & Associates

William Funk 100 Highland Park Village Suite 200 Dallas, TX 75205 Telephone: (214) 522-1222 Fax: (214) 295-3312

E-mail: bill.funk@rwilliamfunk.com

RPA Inc.

RPA Inc. specializes in providing institutionally tailored presidential, advancement, cabinet officer, and search and fund-raising consulting for public and private colleges and universities and education-related organizations.

Richard Allen 2895 South Reach Road Williamsport, PA 17701 Telephone: (800) 992-9277 Fax: (570) 321-7160 E-mail: <u>richard@rpainc.orq</u>

Registry for College and University Presidents

The mission of the Registry for College and University Presidents is to place interim presidents and senior administrators in colleges and universities, with the goal of achieving solid leadership transitions.

Bryan Carlson 3 Centennial Drive Peabody, MA 01960 Telephone: (978) 532-4090 Fax: (978) 538-7544

E-mail: becarlson@comcast.net

Rent Consulting Group, LLC

Rent Consulting Group, LLC, provides consultation in executive search, organizational and leadership development, and marketing and strategic planning, especially for public and private higher education institutions and other non-profit and for-profit organizations. Specialties: CAO, CEO, CHAIR, CS/PA, DEAN, DIR, OTH, VP

Clyda Rent PO Box 220647 Charlotte, NC 28222-0647 Telephone: (704) 366-2388 Fax: (704) 366-2303

E-mail: crent@rentconsultinggroup.com

Russell Reynolds Associates

Mary Tydings 1701 Pennsylvania Avenue, NW Suite 400 Washington, DC 20006-5810 Telephone: (202) 654-7822 Fax: (805) 565-7721

E-mail: MTydings@RussellReynolds.com

SJG-The Spelman & Johnson Group

Providing search consulting to nearly 400 higher education institutions since 1991, Offering unrivaled administrative and academic search services, from ad hoc consultation to full retained search. Specialties: ADV, CAO, DEAN, DIR, VP

Ellen Heffernan 3 Chapman Avenue Telephone: (413) 529-2895

Fax:

Easthampton, MA 01027

E-mail: info@spelmanandjohnson.com

Sage Search Partners, LLC

Sage Search Partners, LLC: Sage Search Partners, LLC specializes in senior-level searches for academic institutions and related not-for-profits. Specialties: CAO, CFO, DEAN, DIR, SPO, VP

Paula Hurley Fazli

Telephone: (617) 964-0406

19 Hawthorne Avenue Newton, MA 02466

E-mail: pfazli@sagesearch.com

Storbeck/Pimentel & Associates, Inc.

With over 100 years of combined service, Storbeck/Pimentel is a nationally recognized, deeply experienced firm supporting leadership searches in education and not-for-profit. Specialties: ADV, CAO, CEO, CFO, DEAN, DIR, VP

Alberto Pimentel

Telephone: (323) 260-5041

1111 Corporate Center Drive

Fax: (323) 260-7889

Suite 106

E-mail: a.pimentel@storbeckpimentel.com

Monterey Park, CA 91754

Storbeck/Pimentel & Associates, LLC

With over 100 years of combined service, Storbeck/Pimentel is a nationally recognized, deeply experienced firm supporting leadership searches in education and not-for-profit. Specialties: ADV, CAO, CEO, CFO, DEAN, DIR, VP

Shelly Storbeck

Telephone: (610) 565-2910

1400 North Providence Road

Fax: (610) 565-2939

Suite 6000

E-mail: s.storbeck@storbeckpimentel.com

Media, PA 19063

William Spelman Executive Search

William Spelman Executive Search is a national retained search firm that focuses on partnering with institutions to identify, recruit, and hire leaders. Specialties: ADV, DIR, OTH, VP

William Spelman

Telephone: (585) 787-9742

667 Midship Circle Webster, NY 14580-3916

E-mail: bill@wspelman.com

Witt/Kieffer

Witt/Kieffer, the nation's ninth-largest executive search firm, focuses on college, university and not-for-profit senior-level searches, including presidents, CEO, deans, and chief development officers. Specialties: ADV, CAO, CEO, CFO, CIO, DEAN, GC, VP

Lucy Leske 2015 Spring Road Suite 510

Telephone: (630) 575-6164 Fax: (630) 990-1257

Oak Brook, IL 60523

E-mail: <u>lleske@wittkieffer.com</u>

UNIVERSITY OF HAWAI'I – WEST OAHU REQUEST FOR PROPOSAL TO ASSIST IN THE SEARCH FOR A VICE CHANCELLOR OF ACADEMIC AFFAIRS

BACKGROUND INFORMATION

The University of Hawai'i – West Oahu (UHWO) is currently seeking to fill the executive level position, Vice Chancellor of Academic Affairs (VCAA). The VCAA serves as the campus' Chief Academic Officer (position description attached). An executive search firm is being sought to assist in the search.

Information on the University of Hawai'i – West Oahu may be found at www.hawaii.edu. and www.hawaii.edu.

In addition to these web sites, additional information about the students and faculty at the UHWO may also be found at http://hawaii.edu/iro/maps.htm.

STATEMENT OF WORK

The consultant shall provide the following services as part of the executive search process:

- 1. Assessment of Need Interview the UHWO Chancellor and/or the Search Advisory Committee to develop an understanding of UHWO's vision and mission for academic planning and policy and to get their perceptions about the qualities that a successful candidate would possess. Develop a document that provides information about the UHWO and defines the direction, challenges, opportunities, and expectations of the VCAA
- 2. **Recruitment** Encourage and actively recruit applicants, nominees, and referrals, and prepare background materials, as needed, on these candidates for review and consideration by the Search Advisory Committee and the Chancellor.
- 3. **Applicant Pool** Ensure that the applications and nominations submitted are complete and available to the Search Advisory Committee in compliance with published application process requirements. Assist the Committee in identifying the applicants or nominees that meet the minimum qualifications.
- 4. **Vetting** Review and screen all applications and nominations of candidates who meet the minimum qualifications. At a minimum, this should include the validation of all candidates' stated credentials (i.e., curriculum vitae, etc.) for those invited to Committee interviews. Present an overview of the qualifications and assets of recommended candidates.

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- 5. In-Depth Vetting of Finalists Provide the UHWO with directed and non-directed reference reports on each of the finalists brought to the UHWO for on-campus interviews, and, upon direction from the UHWO, conduct background checks that include, but not limited to, credit/financial report, criminal record, employment verifications, educational verifications, and professional license verification.
- 6. Provide Consultation and Assistance to the Search Advisory Committee and the Chancellor Provide consultative services to the Search Advisory Committee and the Chancellor to narrow the pool of applicants and nominees, and provide competitive market data such as lists of recent hires, salaries, national studies on salaries, etc.

EXPECTATION OF CONSULTANTS

- 1. **Experience**. Offerors shall have a minimum of FIVE (5) years of executive search experience, and must have demonstrated experience in successfully recruiting executives at the dean level or higher at accredited higher education institutions and/or completed equivalent level executive searches for campus executives in the public or private sector. Experience in recruiting and placing campus chief executive officers is especially valuable. Experience in recruiting for positions in Hawaii, while not mandatory, is also desirable.
- 2. **Deadlines**. Offerors shall have a track record of working under and meeting tight deadlines.
- 3. **Interpersonal and Organizational Skills**. Offerors shall have strong interpersonal and organizational skills.
- 4. **Network of Candidates**. Offerors shall have a worldwide network of contacts that will enable the offerors to recruit strong candidates for the named positions.

PROPOSALS

Interested search firms should submit a comprehensive proposal that provides, at a minimum, the following information.

1. **Search Firm**. Background information on the firm including areas of specialization and contact information, and years of experience.

- 2. **Account Executive**. Name and background information of the individual who will be handling the account. The UHWO will expect that named Account Executive to be the individual providing outreach and services for this account and also the individual who will serve as a liaison to the UHWO.
- 3. **Previous Clients**. List of institutions or agencies that you are currently employed by or have successfully assisted in the past five years to recruit and hire a campus executives or related field. Please provide the location and date of each placement.
- 4. **Basic Scope of Service**. Please provide an overview of how your firm would assist in the named services as defined in the Statement of Work.
- 5. **Fee for Services**. Please provide a proposal of fees for the basic scope of services listed above. The fee for services may not exceed the following parameters.

A. <u>Executive Search Assistance or Retainer Fee</u>

Offerors shall propose separate flat retainer fees to cover all services for the search for a VCAA. Other expenses over and above the retainer fees or those identified in the Other Costs and Expenses as follows shall be specified in the offeror's proposal.

B. Other Costs and Expenses

- Travel and Per Diem Any reimbursement due the Contractor for per diem and transportation expenses under this Agreement shall be consistent with the following State and University guidelines. All expenses for all modes of transportation must be approved in writing by the University prior to travel.
 - a. Reimbursement for inter-island air transportation, if applicable, shall be for actual cost only.
 - b. Reimbursement for air transportation between Hawai'i and out-of-state locations shall not exceed the lesser of actual cost and coach class air fare. In the event travel in a higher class will result in an overall cost savings to the University, and with prior written approval of the University, fares in excess of coach class may be reimbursed.
 - c. Reimbursement for transportation between the consultant's geographic location and potential candidate locations must be authorized in writing by the University prior to travel. In general, such travel should be kept to a minimum.

- d. Reimbursement for ground transportation costs shall not exceed the actual cost of renting an intermediate-sized vehicle.
- e. Unless prior written approval of the University is obtained, reimbursement for subsistence allowance (i.e., hotel and meals, etc.) shall consist of either the federal per diem rate or a mutually agreed upon flat dollar amount not to exceed the federal per diem rate.
- 2) Administrative and Support Expenses. The University shall reimburse the Contractor for expenses, which are to be invoiced monthly, incurred on the University's behalf in the performance of the services herein. These expenses include, but are not limited to, postage, communication charges, photocopying, and research. Such indirect administrative and support expenses shall not exceed 9 percent of the retainer fee.
- 3) Other Direct Expenses. Direct Expenses such as advertising and videoconferencing shall be reimbursed on an actual cost basis upon submission of an invoice and receipts if authorized prior to the activity by the University.

SUBMISSION OF PROPOSALS

Interested firms should submit a search proposal for the VCAA, UHWO along with six (6) hardcopies of the proposal and a fee schedule to the Chancellor's Office, University of Hawai'I – West Oahu, ATT: Donna Kiyosaki, 96-129 Ala Ike Pearl City, Hawai'i 96782 no later than 4:00 pm (Hawai'i Standard Time), _______, 2011. For additional information, please contact Donna Kiyosaki by telephone at (808) 454-4841or by email at dkiyosaki@uhwo.hawaii.edu.

Please note that State law requires completion of tax clearance and other procurement forms <u>prior</u> to formal award of any contract and <u>prior</u> to any payments for services. Further, a second, final tax clearance will be required <u>prior</u> to final payment.