

## **MINUTES**

### **BOARD OF REGENTS' COMMITTEE ON RESEARCH AND INNOVATION MEETING**

**MAY 17, 2016**

#### **I. CALL TO ORDER**

Committee Chair Eugene Bal, called the meeting to order at 3:03 p.m. on Tuesday, May 17, 2016, at the University of Hawai'i at Mānoa, Campus Center, Executive Dining Room, 2465 Campus Road, Honolulu, Hawai'i 96822.

Committee members in attendance: Committee Chair Eugene Bal; Committee Vice Chair Jan Sullivan; Regent Coralie Matayoshi; Regent Barry Mizuno; Regent Ben Kudo.

Others in attendance: Board Chair Randy Moore; Regent Wayne Higaki; Regent David Iha; Regent Michael McEnerney; Regent Lee Putnam; Regent Stanford Yuen; (ex officio committee members); Vice President for Academic Planning & Policy Risa Dickson; Vice President for Community Colleges John Morton; Vice President for Legal Affairs and University General Carrie Okinaga; Vice President for Research & Innovation Vassilis Syrmos; Interim UH-Mānoa (UHM) Chancellor Robert Bley-Vroman; Executive Administrator and Secretary to the Board Cynthia Quinn; and others as noted.

#### **II. APPROVAL OF THE MINUTES OF APRIL 6, 2016 MEETING**

Regent Mizuno moved to approve the minutes of the April 6, 2016 meeting, seconded by Board Chair Moore, and the motion carried unanimously.

#### **III. PUBLIC COMMENT PERIOD**

Executive Administrator and Secretary of the Board Cynthia Quinn announced that the board office received one written testimony, two late written testimonies, and two individuals had signed up to give oral testimony.

The following individuals provided testimony:

1. Dr. Thomas Apple, Chair and Professor for UHM's Department of Chemistry, provided written testimony regarding amending RP 12.207 to have salaries based more by benchmarks than research.
2. Dr. Ann Sakaguchi, faculty for UHM's Department of Anthropology, provided late written testimony regarding amending RP 12.201 to include "administrators" and use the Carnegie I research definition of "plagiarism."
3. Dr. David Duffy, Pacific Cooperative Studies Unit/Makama'āinana Botany at UHM, provided late written and oral testimony regarding challenges facing Organized

Research Units (ORUs) and scholarship at UH, and the need to find ways to reinforce and reassure researchers.

4. Marguerite Butler, Individual, provided oral testimony regarding comparative information on research university expenditures for UHM and other public universities; information on Finances of Research Universities from Council on Governmental Relations; and Pennsylvania State University's (Penn State) Office of the Senior Vice President for Research Strategic Plan FY2009-FY2013.

#### **IV. AGENDA ITEMS**

##### **A. For Action**

##### **1. Recommend Approval of Proposed Amendments to Regents Policy Chapter 12, Research (RP 12.201, RP 12.207)**

VP Syrmos presented proposed amendments to Regents Policy Chapter 12, Research (RP 12.201, RP 12.207) and requested the committee recommend board approval of the proposed amendments. Regent Mizuno moved to recommend board approval of the proposed amendments to RP 12.201 and RP 12.207, Board Chair Moore seconded the motion.

Questions and comments were raised regarding whether the Research Corporation of the University of Hawai'i (RCUH) had similar ethical standards; if it would be appropriate to include administrators in the policy and define plagiarism to be consistent with the federal government as suggested in testimony. Other concerns were raised regarding delegating approval of management fees to president and director of RCUH without board approval, keeping the board informed on an annual basis; and consolidating amendments to the original RCUH master agreement into one document for easier reading. Administration will research RCUH ethical standards and report back to the committee; and take into consideration the two suggestions in testimony received today, noting no comments had been received during the 60 day consultation period.

Committee Chair Bal requested Regent Mizuno amend his motion to take up the votes on RP 12.201 and RP 12.208 separately, and both Regent Mizuno and Board Chair Moore agreed.

The motion to recommend board approval of the proposed amendments to RP 12.201, Ethical Standards of Conduct, having been moved and seconded, was put to vote and passed unanimously.

The motion to recommend board approval of the proposed amendments to RP 12.207, RCUH, having been moved and seconded, it was put to vote and passed unanimously.

##### **B. For Information**

##### **1. Update on Research and Innovation Performance Metrics**

VP Syrmos provided a slide presentation on elements of the quarterly report including key metrics showing stronger third quarter results than last year, but extramural awards to date lag behind last year, with an expectation for a stronger 4<sup>th</sup> quarter. Expenditures are an indication of indirect recovery, and are lagging because of the decrease in extramural awards. Technology transfer results are stable; licensing agreements have increased and royalty revenue doubled, however, UH needs to improve the number of invention disclosures and provisional patents. Metrics related to STEM degrees; entrepreneurship; will be reported at the next meeting. Licensing sources and associated levels of revenue, and the policies and collective bargaining agreement that dictate allocation of those revenues between the institution and researchers will be reported at a future committee meeting.

## **2. The Organized Research Units at Mānoa: History, Present Status, and How We Compare**

Dr. Michael Bruno, UHM Vice Chancellor for Research provided a slide presentation on the history and present status of ORUs at UHM, research university rankings, initiatives, degree programs, facilities, employees, and the sustainable funding ratio of 1:1 extramural to core funding required in Executive Policy 12.213. Highlights included the relationship of teaching and research, impact on education and the community, improving management of personnel, and strategic planning underway to reconceptualize the office and external connections.

Comments and concerns raised regarded adopting systematic reporting and peer comparison for proper oversight, providing a breakdown of accounting of faculty, and improving management practices to hire teaching faculty. The committee supported the strategic planning effort and requested the committee be informed of proposed strategic areas of growth for research with targets, tying hiring and compensation to areas of growth with recommendations reviewed at the board level. The committee also requested reports on facilities expenditures and plans regarding aging units. Other matters discussed included privatization of Lyon Arboretum and the Waikiki Aquarium, utilization of the University Affiliated Research Center (UARC), and the need for a compilation of the benefits of research to the State as a means of conveying the importance and impact of research to constituents and the Legislature.

Committee Vice Chair Sullivan handed out to the committee copies of the Defense Innovation Marketplace's listing of Federally Funded Research & Development Centers and UARCs ([http://www.defenseinnovationmarketplace.mil/FFRDC\\_UARC.html](http://www.defenseinnovationmarketplace.mil/FFRDC_UARC.html)); and various brochures on the Applied Research Laboratory at Penn State, a DOD-designated UARC, and a copy of the FY2015 Annual Report for the Institute for Manufacturing & Sustainment Technology ([https://www.arl.psu.edu/documents/iMAST/15\\_annual\\_rprt.pdf](https://www.arl.psu.edu/documents/iMAST/15_annual_rprt.pdf)).

**V. ADJOURNMENT**

There being no further business, Regent Matayoshi moved to adjourn, and Regent Kudo seconded, and with unanimous approval, the meeting was adjourned at 4:51 p.m.

Respectfully Submitted,

/S/

Cynthia Quinn  
Executive Administrator and  
Secretary of the Board of Regents